

ANNEX I: DRAFT NOTIFICATION TEMPLATE FOR START/INCEPTION OF READINESS GRANT PROJECTS

Fundecooperación para el
Desarrollo Sostenible
San José, Costa Rica.

21-03-2017

The Adaptation Fund Board
C/O Adaptation Fund Board Secretariat
1818 H Street NW
Washington DC
20433
USA
Email: afbsec@adaptation-fund.org

Subject: Notification of readiness project inception

This letter is to inform you that the project to implement the grant proposal for *Technical assistance for the ESP and the Gender policy* approved by the Adaptation Fund Board, signed the 8th of march but has officially started September 2016. Details of the project inception are below:

Implementing Entity: Fundecooperación para el Desarrollo Sostenible

Country: Costa Rica

Grant Type: TA Grant for ESP and Gender Policy

Project Description: Grant to support national implementing *sourcing of technical assistance for assessment and management of environmental, social [REDACTED] risks within projects and programmes* in Costa Rica

Project Start Date: September 2, 2016

Expected Project Completion Date: December 2017

Sincerely



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Fundecooperación

ANNEX III: DRAFT TEMPLATE FOR MONITORING OF READINESS GRANT PROJECTS

A. MONITORING REPORT SIX MONTHS AFTER PROJECT INCEPTION

Implementing Entity: Fundecooperación para el Desarrollo Sostenible

Country: Costa Rica

Adaptation Fund Grant I.D.:

Grant Type: [TA Grant]

Grant Description: Support alignment of the NIE policies and procedures and compliance with the Fund's Environmental and Social Policy

Support Activity Provided/Received	Expected Outputs	Progress (include completion Date "month & year")	Comments/Explanation (also explain any deviation from initial plan)
Development of procedures for screening projects for environmental and social risks	-Development of guidelines to screening projects and baseline adjustments	Completed	A general guideline, in Spanish, on the Environmental and Social Policy has been created.
Development of procedures for undertaking project environmental and social risk assessment and for formulating management plans	-Development of procedures manual/guidelines: a. Creation of a toolbox. b. Identification of a basic legal framework and the respective check list for each principle c. Procedures for involving Stakeholders d. Follow up of the indicators	Completed	A general guideline, in Spanish, on the Environmental and Social Policy has been created.
	-Development of guidelines warranty compliance with the new labor law	On-going (CD: December 2017)	The creation of a new labor law in Costa Rica (that has different impacts with principle 1, principle 2, principle 4, principle 5 and principle 6) has delayed the implementation of the grant. Specifically, is important as NIE to guarantee the compliance with the new law that starts its legal implementation until July 2017.



<p>Development of a policy/avenues for public disclosure and consultation</p> <p>Development of transparent and effective mechanisms for receiving and resolving complaints about environmental and social harms caused by projects/programs during the course of implementation of AF supported projects/programs</p> <p>Training of select entity staff to carry out the relevant tasks related to the implementation of the E&S Policy</p>	<p>Application of procedures for stakeholder consultations</p> <p>Inclusion in the guidelines for the mechanism for receiving and resolving complaints</p>	<p>On-going</p> <p>On-going CD: August 2017</p>	<p>At the end, each Executing Entity has implemented this procedure once they had completed the workshops.</p> <p>The completion of this activity depends also of the new labor national law, in order to include the mechanisms for receiving and resolving complaints establish by the law.</p>
<p>Other type of support requested (please describe)</p>	<p>Workshops for the Executing Entities</p>	<p>Ongoing</p> <p>CD: December 2017</p>	<p>6 workshops were held during 2016. The workshops included the participation of at least 16 Executing Entities. It is important to mention that, the programme is expecting to support the implementation of 40 projects, however no all the projects had started yet the implementation. For this reason, several workshops will be held during 2017. Also, we are coordinating workshops regarding the new national labor law.</p>



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